

QUERY CONTROL FORM

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Application No. 09/841,945
Examiner-GAU Mei - 2832

Prepared by C. Majors
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JACKET

a. Serial No.	f. Foreign Priority	k. Print Claim(s)	<u>p. PTO-1449</u>
b. Applicant(s)	g. Disclaimer	l. Print Fig.	q. PTOL-85b
c. Continuing Data	h. Microfiche Appendix	m. Searched Column	r. Abstract
d. PCT	i. Title	n. PTO-270/328	s. Sheets/Figs
e. Domestic Priority	j. Claims Allowed	o. PTO-892	t. Other

SPECIFICATION

- a. Page Missing
- b. Text Continuity
- c. Holes through Data
- d. Other Missing Text
- e. Illegible Text
- f. Duplicate Text
- g. Brief Description
- h. Sequence Listing
- i. Appendix
- j. Amendments
- k. Other

CLAIMS

- a. Claim(s) Missing
- b. Improper Dependency
- c. Duplicate Numbers
- d. Incorrect Numbering
- e. Index Disagrees
- f. Punctuation
- g. Amendments
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- j. Duplicate Text
- k. Other

MESSAGE

MESSAGE Please either line through or initial citations. Copy provided for reference.

RESPONSE

Thank you
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Sheet 1 of 2Substitute Form PTO-1449
(Modified)U.S. Department of Commerce
Patent and Trademark OfficeAttorney's Docket No.
11872-030001Application No.
09/841,999**Information Disclosure Statement
by Applicant**

(Use several sheets if necessary)

(37 CFR §1.98(b))

Applicant
Dung A. Ngo et al.Filing Date
April 25, 2001Group Art Unit
3729**RECEIVED**
OCT 18 2001
TECHNOLOGY CENTER**U.S. Patent Documents**

Examiner Initial	Desig. ID	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date, If Appropriate
	AA	3,153,216	10/13/1964	Klitten			
	AB	3,212,172	10/19/1965	Davis			
	AC	3,233,311	02/08/1966	Giegerich et al.			
	AD	3,434,087	03/18/1969	Hofmann			
	AE	3,548,355	12/15/1970	Martincic et al.			
	AF	3,611,226	10/05/1971	Cotton et al.			
	AG	3,626,587	12/14/1971	Zickar et al.			
	AH	3,708,897	01/09/1973	Martincic et al.			
	AI	3,750,071	07/31/1973	Eley			
	AJ	3,774,298	11/27/1973	Eley			
	AK	4,504,813	03/12/1985	Strang			
	AL	4,599,594	07/08/1986	Siman			
	AM	4,751,488	06/14/1988	Lanoue et al.			
	AN	5,242,760	09/07/1993	Matsuoka et al.			
	AO	5,455,392	10/03/1995	Preu et al.			
	AP	5,470,646	11/28/1995	Okamura et al.			

Foreign Patent Documents or Published Foreign Patent Applications

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	AQ	0 082 954 A I	07/06/1983	EP				
	AR	2 289 039	05/21/1976	France				
	AS	1,087,594	10/18/1967	Great Britain				
	AT	1,156,369	06/25/1969	Great Britain				

Other Documents (include Author, Title, Date, and Place of Publication)

Examiner Initial	Desig. ID	Document
	AU	Copy of PCT Search Report for PCT/US99/06476 dated 07/09/1999
	AV	Copy of PTO Form 892 from U.S. Serial No. 09/276,164

Examiner Signature

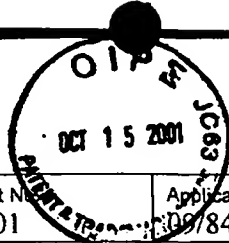
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Date Considered

3/1/03

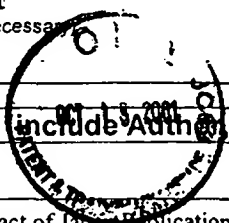
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Substitute Disclosure Form (PTO-1449)



Substitute Form PTO-1449 (Modified) Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 11872-030001	Application No. 09/841,945
	Applicant Dung A. Ngo et al.		
	Filing Date April 25, 2001		Group Art Unit 3722

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Other Documents include Author, Title, Date, and Place of Publication		
Examiner Initial	Desig. ID	Document
	AW	Patent Abstract of Japan Publication No. JP 58-141515 A
	AX	Patent Abstract of Japan Publication No. 59-121810 A2
	AY	Report titled: "National Appliance and Equipment Energy Efficiency Program, Analysis of Potential for Minimum Energy Performance Standards for Distribution transformers", Draft Final Report dated 03 May 2000 by ark Ellis & Associates, 44, Albert Street, Wagstaffe, NSW 2257, Australia

Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	